

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q90175

Thomas PEGLOW, et al.

Appln. No.: Based on PCT/CH2004/00177

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: September 26, 2005

Examiner: Unknown

For: POLYMERIZABLE DICHROIC AZO DYES

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

10/ 550454

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: Based on PCT/CH2004/000177

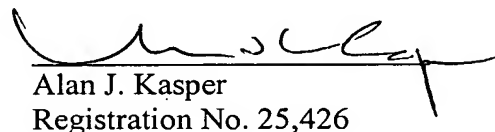
filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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23373

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Date: September 26, 2005

Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>			<i>Complete if Known</i>	
			Application Number	Based on PCT/CH2004/000177
			Confirmation Number	Unknown
			Filing Date	September 20, 2005 <b>10/550454</b>
			First Named Inventor	Thomas PEGLOW
			Art Unit	Unknown
Examiner Name	Unknown			
Attorney Docket Number	Q90175			
Sheet	1	of	1	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,389,698	A	02-14-1995	Vladimir G. Chigrinov, et al.
		US 5,602,661	A	02-11-1997	Martin Schadt, et al.
		US 5,838,407	A	11-17-1998	Vladimir G. Chigrinov, et al.
		US 6,144,428	A	11-07-2000	Martin Schadt, et al.
		US 6,160,597	A	12-12-2000	Martin Schadt, et al.
		US 6,300,991	B1	10-09-2001	Martin Schadt, et al.
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	1256602	A1	11-13-2002	Rolic AG	
		EP	1209515	A1	05-29-2002	The Hong Kong University of Science & Technology DAINIPPON INK AND CHEMICALS, INC.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Patent Abstracts of Japan, Vol. 10, No. 31 (C-327), February 6, 1986 & JP 60 184564 A (MITSUI TOATSU KAGAKU KK), September 20, 1985, Examples 3, 11, 23, 24	
		Patent Abstracts of Japan, Vol. 11, No. 63 (C-406), February 26, 1987 & JP 61 221267 A (MITSUI TOATSU CHEM INC), October 1, 1986	
		Patent Abstracts of Japan, Vol. 10, No. 139 (C-348), May 22, 1986 & JP 60 262858 A (MITSUI TOATSU KAGAKU KK), December 26, 1985	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.